Quality Assurance for *RHPA* Practitioners: the CDHO Approach

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Level of Authority

- Regulated Health Professions Act, 1991
- Dental Hygiene Act, 1991
- Regulations made under the Act
- Bylaws made under the Act
- Standards of practice for dental hygienists
- Guidelines

CDHO Mandate

- Protect the public
- Regulate the profession
- Promote quality practice
- Promote professionalism
- Advocate for access to preventive oral health care

Assessor's Role in On-site Visits

- As a fact-finder for the Quality Assurance Committee
- To gather sufficient information so that the Quality Assurance Committee can evaluate the dental hygienist's knowledge, skills, judgment and attitudes.

Assessment Includes:

- A review of the practice facilities and equipment;
- A review of any pertinent office policies and procedures that are in writing;
- An interview with the registrant regarding ordinary methods of practice including, perhaps, a role-playing of a typical visit so that the assessor can visualize it;

Assessment Includes: cont'd

- A random review of files;
- A discussion of some of the reviewed files with the assessor;
- Possibly a discussion with registrant's colleagues (e.g., dentist, dental hygienist, PDA, etc.); and
- The copying of any records needed to complete the report of the assessor.

CDHO Registrant Will Need To:

- Prepare the employer for the visit
- Make sure equipment is available for photocopying charts
- Save all day-sheets => makes it easier to identify charts for selection in multidental hygienist practices
- Highlight his/her chart entries
- Show proof of CPR

Assessing the Workplace

The office has a written policy for the collection and maintenance of client information. *Standard #1*

Current scientifically accepted infection control procedures are in place. *Standard #10*

Emergency protocol, emergency supplies, equipment and oxygen are in place. *Standard* #10

Registrant has proof of current CPR certification. *Standard #10*

Assessing the Workplace cont'd

Exposing and processing of radiographs and radiation hygiene are consistent with the Healing Arts Radiation Protection Act. Standard #6

Equipment is current and in good repair. Standard #11

Instruments are sharp and the original design has been maintained. *Standard #11*

Equipment, instruments and supplies are sufficient to support the selection and implementation of appropriate dental hygiene services. *Standards #7 & #11*

Chart Audit

An initial medical history and updates are in client record *Standard #8*

Clinical assessment is complete: supports the dental hygiene assessment, client interviews, health, dental & pharmacological history, and clinical and radiographic interpretation. Standard #8

An individual dental hygiene treatment plan has been established and includes:

- a) goals/objectives
- **b)** sequence of activities
- c) client participation. Standards #7 & #9

Chart Audit cont'd

- The client's informed consent for treatment has been obtained. *Standard #9*
- The date and particulars of each professional contact with the client is documented as per the CDHO Record Keeping Regulation. Standards #5 & #13
- A clinical re-assessment is performed and the dental hygiene treatment plan is reviewed and modified as required. Standards #7, #13 & #14

Chart Audit cont'd

The client has received appropriate recommendations and instructions in oral self-care. *Standard #12*

The registrant consults and/or refers to other health professionals as required. *Standard #6*

Chart Selection

- Selection should be randomly selected by the assessor from the day sheets.
- Include multiple types of clients that reflect practice.
- Charts are chosen that show multiple entries over time.
- The entire chart is copied, includes financial.
- 20 charts is a good number.

Chart Selection cont'd

- The registrant will be asked to highlight his/her entries for identification purposes.
- If the office uses abbreviations the registrant is to supply the legend.
- Chart audit can be done off-site (copying charts takes the bulk of time)

*** The charts tell the story. If it is not written in the chart, one can assume it did not happen.

Employer Involvement in On-site

- Employer may be there, but not required
- Employer may <u>not</u> interfere with assessor
- The CDHO has the legal right to copy the whole chart, including the financial record
- Most RHPA Colleges conduct on-sites

Trends

Most common areas of concern as determined by the Quality Assurance Committee:

- Registrant is not following the Dental Hygiene Process of Care (Assessment, Planning, Intervention, Evaluation)
- Poor or illegible record keeping
- Not following HARP protocols

Trends cont'd

- Jurisprudence issues i.e., "The dentist made me do it!"
- Not following current concepts or evidence based practice i.e., coronal polish or fluoride treatments for every patient/client
- Infection control not up to accepted standards

Trends cont'd

- Not following N2O2 protocols
- Emergency kits not up-to-date
- Registrant does not know the office protocol for emergency situations
- Lack of individualized client care [i.e. all clients in every three months, all clients receiving the same treatment]

How to Contact the CDHO

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